

KENYA INSTITUTE OF SUPPLIES MANAGEMENT AND ETHICS & ANTI-CORRUPTION COMMISSION NATIONAL INTEGRITY ACADEMY OF THE EACC COLLABORATIVE WORKSHOP

“ENHANCING ETHICS IN PUBLIC PROCUREMENT”

23RD – 27TH MAY, 2022 | 30 CPD POINTS | PRIDEINN FLAMINGO BEACH RESORT & SPA, MOMBASA



TARGET GROUP

Board Members, Chief Executive Officers/Accounting Officers, County Executive Members, County Chief Officers, Heads of Procurement, Procurement Managers & Officers; Members of Audit Committees, Heads of Audit, Heads of Finance, Corruption Prevention Committees, Integrity Assurance Officers and Heads of Departments/sections/units.

INTRODUCTION

The Corruption Perception Index (CPI) ranks countries and territories around the world by their perceived levels of public sector corruption. According to Transparency International, the CPI global average for year 2021 remained unchanged for the tenth year in a row, at just 43 out of a possible 100 points despite multiple commitments.

In Kenya, the National Integrity Academy (NIACa) is established by the Ethics and Anti-Corruption Commission (EACC). The Academy draws its mandate from Section 53 of the Leadership and Integrity Act, 2012 provides for the provision of long-term education and training on leadership and integrity to: all public officers, all levels of the education system and the public. On the other hand, the Kenya Institute of Supplies and Management (KISM) draws its mandate from the Supplies Practitioners Management Act of 2007 and strives to “promote professionalism in SCM”.

Based on their mandates, EACC (via NIACa) and KISM have developed this joint training program to discuss ethics and corruption as a major challenge in Procurement and Supply Chain Management (PSCM) practice in Kenya.

TRAINING APPROACH

A hands-on approach that is heavy on real case studies

TRAINING OBJECTIVES

By the end of the workshop participants should be able to:

1. Demonstrate understanding of the salient provisions of the anti-corruption legal framework.
2. Develop institutional corruption prevention framework
3. Uphold integrity and ethical practices in public procurement.
4. Conduct procurement ethically and within the confines of the legal and regulatory framework.
5. Identify systemic weaknesses and manage corruption risks using the CRA and MP framework.
6. Leverage on technology to minimize corruption and unethical practices in Supply Chain Management
7. Manage corruption and unethical practices in the workplace.

WORKSHOP FEES

Members: 50,000.00

Non-members: 55,000.00

ACCOMMODATION AND TRAVEL

Participants are required to make their own travel and accommodation arrangements.

1. Keynote Address

Corruption; the plague ailing procurement and supply chain management in Kenya

2. Objectives of the training

- Mandate of EACC/NIACA
- Mandate of KISM
- Why the collaboration and partnership?
- Objectives of the programme

3. Salient provisions of Anti-Corruption Legal Framework

Overview of the Legal framework in the fight against corruption:

- International conventions
- The Constitution of Kenya, 2010
- National laws
- Overview of ACECA and Bribery Act

4. Obligations of Public & State Officers under the Leadership and Integrity Act, 2012 & POEA, 2003

- Provisions of Chapter 6 of Constitution of Kenya
- POEA and LIA

5. Procurement legal and regulatory framework and prevention of corruption

- Public Procurement and Assets Disposal Act, 2015 and Regulations, 2020
- Supplies Management and Practitioners Act, 2007.

6. Enhancing integrity in the pre-tender process

Corruption and Unethical practices in

- Need-identification.
- Procurement planning.
- Procurement specifications.
- Pre-tender documents preparation.
- Systemic weaknesses

7. Upholding integrity in the choice and application of procurement methods

- Choice of procurement methods and the threshold matrix
- Ethical issues in supplier registration (Court Case)
- Corruption loopholes in the choice and application of procurement methods.
- Procurement systems audit cases

8. Ethical practices in the bidding, evaluation and award.

overview of bidding and evaluation process

Group discussion

Ethical breaches in;

- Advertisement of bids
- Issuance, receipt and the opening of bid documents.
- Bid evaluation process.
- Due diligence
- Development of professional opinion.
- Notification and award
Plenary

9. Ethical issues in contract administration and management

Overview of the Legal framework in the fight against corruption:

- Overview of procurement contract administration and management

- Ethical breaches in;
 - Contract preparation
 - Contract implementation, variations and management.
- Contract Implementation Teams (composition, capacity, conduct)
- Inspection and Acceptance Committees (composition, capacity, conduct)
- Contract payments and close out
Case studies

10. Integrity in the management of procurement records

Overview of the Legal framework in the fight against corruption:

- Overview of procurement records
- Unethical practices in:
 - Storage of procurement records (Maintenance, safety, retention and disposal)
 - Reporting and compliance
 - Systemic weaknesses

11. Overview of Inventory Management and Disposal of Assets

Overview of the Legal framework in the fight against corruption:

- Unethical practices in:
 - Inventory management
 - Disposal of assets.
 - Disposal committees (appointment, composition, conduct)
 - Systemic weaknesses

12. Understanding Corruption Risk Assessment and Corruption Mitigation

Overview of corruption risk assessment (CRA) and mitigation planning (MP)

- Composition and Terms of Reference of the Corruption RA Team
- Resources and Management support for undertaking CRA
- Procedures of developing a CRA and MP
- Corruption risk assessment in procurement
- Mitigation against corruption in procurement
- Monitoring and Evaluation on mitigation plan implementation

13. Corruption Risk Assessment and Mitigation Plan

- Practical on development of a CRA and MP
- Group work and presentations
Case study

14. Leveraging on technology in preventing corruption

Application of ICT in Supply Chain Management

- Benefits and Challenges of ICT application in Supply Chain Management
- Role of ICT in preventing unethical practices along the SCM
Group work; Benefits and challenges of IFMIS.

15. Mechanisms of Reporting Corruption and Unethical Conduct

Overview of Internal reporting of Corruption and Unethical Conduct.

- Discussion on Internal Reporting; policies, framework etc
- Procedure and tools for reporting; witness protection, anonymous reporting, whistle blowing etc]
- Handling Internal Corruption and Unethical reports
Escalation to External Bodies.

